

Jena M. Griswold Secretary of State Christopher P. Beall Deputy Secretary of State

# Notice of Proposed Rulemaking

Office of the Secretary of State Notary Program Rules 8 CCR 1505-11

## Date of Notice: November 29, 2021 Date and Time of Public Hearing: January 6, 2022 at 1:00 p.m.

## I. Hearing Notice

As required by the State Administrative Procedure Act,<sup>1</sup> the Secretary of State gives notice of proposed rulemaking. The hearing is scheduled for January 6, 2022 at 1:00 p.m. **This meeting will be conducted via webinar; no in-person option is available.** Details regarding how to join the webinar and testify during the hearing are outlined in section VI of this notice.

## II. Subject

The Secretary is considering amendments to the Colorado Secretary of State Notary Program Rules<sup>2</sup> in order to ensure the uniform and proper administration, implementation, and enforcement of the Revised Uniform Law on Notarial Acts (RULONA)<sup>3</sup>. The Secretary may consider additional rule amendments including revisions necessary to eliminate obsolete provisions; remove references to repealed statutory provisions; simplify the language of existing rules; remove language that is duplicative of statute or constitutional provisions; and ensure consistency with Department rulemaking standards. The Secretary may consider additional rule amendments.

A detailed Statement of Basis, Purpose, and Specific Statutory Authority follows this notice and is incorporated by reference.

## III. Statutory Authority

The Secretary proposes the rule revisions and amendments in accordance with the following statutory provisions:

<sup>&</sup>lt;sup>1</sup> Section 24-4-103(3)(a), C.R.S. (2021).

<sup>&</sup>lt;sup>2</sup> 8 CCR 1505-11.

<sup>&</sup>lt;sup>3</sup> Article 21 of Title 24, Part 5, C.R.S. (2021).

- Section 24-21-527(1), C.R.S., (2021), which authorizes the Secretary of State to "adopt rules to implement this part 5 [the Revised Uniform Law on Notarial Acts] in accordance with article 4 of this title 24 [the State Administrative Procedure Act]."
- Section 24-21-527(1)(h), C.R.S., (2021), which authorizes the Secretary of State to "[p]rescribe requirements for the approval and use of remote notarization systems and storage systems."

## IV. Copies of Draft Rules

A preliminary draft of the proposed rules is posted on the Secretary of State's rules and notices of rulemaking website at:

https://coloradosos.gov/pubs/rule\_making/hearings/2022/NotaryRulesHearing20220106.html.

You may also contact our office to request an editable electronic copy of the draft rules.

As required by the State Administrative Procedures Act,<sup>4</sup> if changes are made before the hearing, revised proposed draft rules will be available to the public and posted on the website by January 1, 2022.

## V. Opportunity to Testify and Submit Written Comments

The Secretary values your feedback in our rulemaking process and we would very much like to hear your thoughts on the proposed amendments. Please review and consider the attached proposed draft rules.

Everyone will have the opportunity to testify and provide written comment concerning the rule amendments. You may submit written comments to <u>SoS.Rulemaking@coloradosos.gov</u> any time before and during the hearing. Additional opportunity to comment in writing will be announced at the conclusion of the hearing. Information regarding how to testify during the webinar hearing is provided in section VI of this notice.

As soon as possible after receipt, written comments will be posted online at the Secretary of State website:

https://coloradosos.gov/pubs/rule\_making/hearings/2022/NotaryRulesHearing20220106.html.

We will redact apparent personal contact information, including home address, email address, and telephone number(s), from submissions before posting the information online, unless otherwise directed by the contributor. All written comments will be added to the official rulemaking record.

## VI. Webinar and Audio Recording of Hearing

<sup>&</sup>lt;sup>4</sup> Section 24-4-103(3)(a), C.R.S. (2021). "Any proposed rule or revised proposed rule by an agency which is to be considered at the public hearing...shall be made available to any person at least five days prior to said hearing."

## Register for the webinar hearing

To join and listen to the hearing, you must register for the webinar online: <u>https://attendee.gotowebinar.com/register/6210479698245127179</u>.

When you register, you must provide your full name and email address. Please provide additional contact information including your address and telephone number. You may also provide your job title and organization. Lastly, indicate whether you plan to testify during the hearing. When you submit your registration, you should receive a confirmation email including details about how to join the webinar.

#### *Webinar hearing procedures*

At the beginning of the webinar, we will mute all public participants. After the introduction and a brief summary of the rulemaking, we will open the hearing to testimony as follows:

- Referencing registration records, we will identify and individually unmute participants who indicated that they plan to testify during the hearing.
- When we exhaust the list, we will ask whether any additional attendees wish to testify. Attendees may raise/lower their hand by clicking the icon in their control panel.
- To ensure that the hearing is prompt and efficient, oral testimony may be time-limited.

Before the hearing concludes, we will announce an additional opportunity to submit written comments and the associated deadline.

#### Webinar audio requirements

**Please be advised:** we strongly encourage attendees to join the webinar through their computer even if they use their telephone to dial in for audio. To testify during the hearing, it is best to use your computer microphone and speakers or a headset. As outlined above, we will first receive testimony from attendees whose registration indicates that they plan to provide testimony and then we will offer attendees the option to raise their hand. If you access the webinar only by telephone, you may not appear in our webinar attendee list meaning we may not be able to unmute you. Moreover, the raise your hand feature is only available to attendees who access the webinar by computer.

### Audio recording

After the hearing concludes, a recording will be available on our audio broadcasts page here: <u>https://www.sos.state.co.us/pubs/info\_center/audioBroadcasts.html</u>.

### VII. Office Contact

If you have any questions or would like to submit written comments, please contact the Department Rulemaking Program Assistant at <u>SoS.Rulemaking@coloradosos.gov</u>.

Dated this 29th Day of November, 2021,

Christopher P. Beall Deputy Secretary of State

For

Jena Griswold Colorado Secretary of State



# Draft Statement of Basis, Purpose, and Specific Statutory Authority

## Office of the Secretary of State Notary Program Rules 8 CCR 1505-11

# November 29, 2021

# I. Basis and Purpose

This statement explains amendments to the Colorado Secretary of State Notary Program Rules. The purpose of the changes is to ensure the uniform and proper administration, implementation, and enforcement of the Colorado Revised Uniform Law on Notarial Acts (RULONA)<sup>1</sup> and to answer questions arising under the Act. Specifically, the changes include:

- Amendments to Rule 3.6.5 and 3.6.5(a) clarify that, unless an exception applies, the Secretary of State will provide a vendor or course provider with written notice, an opportunity to respond in writing, and a reasonable opportunity to comply with all lawful requirements that may warrant agency proceedings to terminate, suspend, or impose conditions on an existing accreditation of a vendor or approval of a provider before instituting such proceedings in accordance with the State Administrative Procedure Act (Article 4 of Title 24, C.R.S.).
- Amendments to Rule 3.6.5 and New Rule 3.6.5(b) clarify that, unless an exception applies, the Secretary of State will not terminate, suspend, or impose conditions on an existing accreditation of a vendor or approval of a course provider until after holding a hearing in accordance with the State Administrative Procedure Act (Article 4 of Title 24, C.R.S.).
- Current Rule 3.6.5(b) is renumbered as Rule 3.6.5(c).
- New Rule 5.3.5 clarifies that if the Secretary of State denies approval of the application of a provider (defined in Rule 5.1.2 as a remote notarization system provider or a remote notarization storage provider), the rejected applicant has the right to request a hearing in accordance with the State Administrative Procedure Act (Article 4 of Title 24, C.R.S.)
- Current Rules 5.3.5 and 5.3.6 are renumbered as Rules 5.3.6 and 5.3.7.

<sup>&</sup>lt;sup>1</sup> Article 21, Title 24 of the Colorado Revised Statutes.

- Current Rule 5.3.7 is renumbered as Rule 5.3.8 and a typographical error is corrected in subsection (a).
- Current Rule 5.3.8 is renumbered as Rule 5.3.9. Rule 5.3.9(a) is amended to clarify that, unless an exception applies, the Secretary of State will provide a remote notarization system or storage provider with written notice, an opportunity to respond in writing, and a reasonable opportunity to comply with all lawful requirements that may warrant agency proceedings to terminate, suspend, or impose condition on an existing approval before instituting such proceedings in accordance with the State Administrative Procedure Act (Article 4 of Title 24, C.R.S.).
- New Rule 5.3.9(b) clarifies that, unless an exception applies, the Secretary of State will not terminate, suspend, or impose conditions on an existing approval of a remote notarization system or storage provider until after holding a hearing in accordance with the State Administrative Procedure Act (Article 4 of Title 24, C.R.S.).
- Current Rule 5.3.9(b) is renumbered as Rule 5.3.9(c).

## II. Rulemaking Authority

The statutory authority is as follows:

- Section 24-21-527(1), C.R.S., (2021), which authorizes the Secretary of State to "adopt rules to implement this part 5 [the Revised Uniform Law on Notarial Acts] in accordance with article 4 of this title 24 [the State Administrative Procedure Act]."
- Section 24-21-527(1)(h), C.R.S., (2021), which authorizes the Secretary of State to "[p]rescribe requirements for the approval and use of remote notarization systems and storage systems."