

STATE OF COLORADO
Department of State

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Department of State
Strategic Plan Performance Evaluation for Fiscal 2022-23

To be posted: October 31, 2022

For this performance evaluation, the Office has updated progress on selected goals described in the Colorado Department of State [Strategic Plan for FY 2022-23](#). The selected goals in this report best capture the priorities of the Department's divisions.

Administration Division

The Administration Division supports the Secretary of State and the Business and Licensing, Elections, and Information Technology divisions in the department by providing personnel, finance, and general administrative support.

***Department-wide Goal:** Through partnership and collaboration between all divisions, we will continue to diminish the effects of unconscious bias and work towards a more equitable and inclusive workplace for all employees.*

***Division Strategy:** Implement selected activities that support equity and inclusion. Create opportunities to solicit feedback and monitor the process to ensure that it is adhering to best practices for data collection, inclusiveness, and equity.*

Performance Measure		FY 22-23
Number of EDI related trainings offered	Target	6
	Actual	4*

*Progress to FY22-23 target as of October 2022

Through collaboration with a diverse representative council and with the facilitation and support of Administration personnel, the Department continues to create opportunities for team building, communication, and development to work towards a more equitable and inclusive workplace.

Department-wide Goal: Streamline and modernize current technology in order to better serve the user and reduce costs and technical debt.

Division Strategy: Where possible within existing rules and laws, enable electronic approvals of contract, interagency agreements, and grant agreements.

Performance Measure		FY 22-23
Proportion of invoices sent to Finance inbox	Target	95%
	Actual	95%*

*Progress to FY22-23 target as of October 2022

The Department has inserted language into all contracts and purchase orders directing vendors to send invoices to the Finance mailbox. In FY 2022-23, it will work with contract managers and other program level staff to follow up with vendors that are sending invoices to program contacts, instead of to the Finance mailbox. This process allows the finance team to efficiently process transactions in a remote work environment and to directly communicate with vendors.

Business and Licensing Division

The Business and Licensing Division provides document filing, records management, information retrieval, and educational services. It registers business names and organizations, trade names and trademarks, and files secured transactions records and notary applications, making them, as well as other document filings, accessible to the public. The division also licenses durable medical equipment, licenses entities involved in charitable bingo and raffle, registers charitable organizations, and publishes the Code of Colorado Regulations. The division’s Business Intelligence Center harnesses the state’s public data and knowledge resources and, in concert with Colorado’s technology community, delivers value added software tools for business decision-making.

Department-wide Goal: Streamline and modernize current technology in order to better serve the user and reduce costs and technical debt.

Division Strategy: Increase bingo/raffle quarterly online filing with focused outreach.

Performance Measure		FY 22-23
Percentage of Bingo-Raffle electronic quarterly reports submitted	Target	91%
	Actual	92%*

*Percentage as of October 2022

The Department’s strategies to increase online adoption have seen almost zero recidivism, allowing staff to continue focusing on an ever-decreasing number of entities that still file on paper. Beginning this fiscal year, staff started delivering a letter to paper filers, upon completion of processing their documents, which highlights the benefits of online filing along with offers of tutorials and staff support to make the shift. These letters are often accompanied with individual outreach efforts by program staff. Beginning later this fiscal year (once enough benchmarking data from the letter-sending strategy has been compiled) the Department will begin running reports that identify success rates in adoption for this population, while better identifying individual high-touch contacts that can be made. The Division anticipates incremental movement toward 100%, with discussions regarding exclusive online reporting to be determined at a future date.

Department-wide Goal: *Ensure expanded and comprehensive support to our customers through a variety of enhancements to systems and staff.*

Division Strategy: *Use and expand the Department’s multiple eLearning technologies to meet the evolving internal and external learning needs.*

Performance Measure		FY 22-23
Build eLearning courses for remote notary, UCC, and business rebuild tasks	Target	47 (target of three new additional modules in FY22-23)
	Actual	45* (added one new module thus far in FY22-23)

*Progress to FY22-23 target as of October 2022

Since its inception in 2012, the Department’s eLearning platform has proven to be popular with constituents and an effective way for programs to deliver information and training to an ever-changing and ever-growing customer base. The Business and Licensing Division is an enthusiastic supporter of the Secretary’s drive to educate and empower the Department’s customers and constituents in cost-effective ways and to date have developed 45 offerings covering a wide range of topics, the most recent of these being geared toward assisting our bingo-raffle community as it shifts to online filing of required documents. Additionally, the Department is focusing resources on building internal modules to assist as refresher content for our frontline staff that will ensure enhanced experiences for our customers when they contact our office. To increase the Department’s support of diverse communities, it is actively translating existing modules into Spanish - with 5 courses having been converted thus far (one more than last reporting period). Many eLearning opportunities are suggested from feedback received by the Division’s Customer Support Services (CSS) team. The Division has also trained staff in course development to amplify the Department’s resources. The Division leverages the eLearning platform to provide, not only training, but certification modules that can be accessed from anywhere in the world with an internet connection any time a customer wants to get a given certification.

Elections Division

The Elections Division supports the Secretary of State, as the state’s chief election official, by administering and supervising statutory and constitutional provisions that relate directly or indirectly to the conduct of Colorado elections. These include certifying content to the ballot, publishing the abstract of vote totals, as well as overseeing the verification of petitions for ballot issues, campaign finance filings, voting equipment certifications, requirements of the National Voter Registration Act and Help America Vote Act, and maintaining the statewide voter registration system.

Department-wide Goal: *Dedicate time and resources to analyzing specific processes, and improving them in order to increase efficiency, ensure consistency, and better our work.*

Division Strategy: *Consistent and strong enforcement of campaign and political finance laws.*

Performance Measure		FY 23-23
Average resolution of campaign finance complaints	Target	90 days
	Actual	61 days*

*Progress to FY22-23 target as of October 2022

Within statutorily defined timelines and parameters, the Division seeks to conduct a thorough review and investigation into each complaint and reach uniform and consistent enforcement as evidenced by granted motions to dismiss and approved settlement agreements. Consistent enforcement of campaign and political finance complaints provides notice to candidates, committees, and others on what is expected for compliance. Ensuring complaints that do not allege violations are dismissed upon initial review, issuing requests for information during the cure and investigation stages, and conducting thorough reviews, all assist the Division in the timely resolution of complaints, either through dismissal, settlement, or an OAC hearing.

Department-wide Goal: *Dedicate time and resources to analyzing specific processes and improving them in order to increase efficiency, ensure consistency and better our work.*

Division Strategy: *Facilitate a complaint process that is transparent and easy to navigate by complainants, respondents, the press and external third parties.*

Performance Measure		FY 22-23
Number of third-party complaints field with the Division	Target	40

	Actual	52*
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* Progress to FY22-23 target as of October 2022

Any person can file a complaint with the Elections Division regarding a potential campaign and political finance violation. Timely and consistent handling of complaints, that result in consistent enforcement of rules and regulations, builds trust in the compliant process, greater compliance by those required to register and report, and provides clarity on those seeking to comply with campaign and political finance regulations. A significant increase in third-party complaints could be evidence of loopholes or lack of clarity in our rules and regulations, where otherwise compliant candidates or committees are failing to comply with registration and reporting requirements. Tracking the complaints over time allows us to see how the public has become more aware of the enforcement process, possible confusion and loopholes that can be resolved, and provide notice to those who register and report.

Information Technology Division

The Information Technology Division provides technical and project management services, system development, and support to programs administered by the division and other divisions in the department. The division has developed its own mission statement to express its purpose: “To provide continuous commitment in delivering innovative, cost-effective, and secure Information Technology Solutions that support the unique and individual goals of all divisions within the Department.”

Department-wide Goal: *Through partnership and collaboration between all divisions, we will continue to diminish the effect of unconscious bias and work towards a more equitable and inclusive workplace for all employees.*

Division Strategy: *Build Jira projects with feedback, visibility, and communication across the department.*

Performance Measure		FY 22-23
Build customer facing dashboards to increase visibility of work	Target	80% of active, large projects have a specific project dashboard, and work units are progressing toward having unit-specific boards
	Actual	100%* of active, large projects (5 current active, large projects) have customer-facing dashboards; six individual work units have dashboards for daily activity and project tracking

*Percentage for FY22-23 target as of October 2022

The Department is focused on creating dashboard views for large projects to allow all project stakeholders to obtain on-demand access to real-time project status. This allows line of business stakeholders to be active, engaged members of project teams and improves accountability of all project team members. The Department is also encouraging the adoption of team dashboards for individual work units to allow daily task management, tracking, and historical reporting.

Department-wide Goal: *Focus on enhancing physical and cyber security for our Department, stakeholders, and staff.*

Division Strategy: *Provide monthly scanning and reporting for critical and high vulnerabilities across CDOS infrastructure.*

Performance Measure		FY 22-23
Report on time to mitigate or resolve critical and high vulnerabilities	Target	100% of all vulnerabilities rated “critical” and “high” are mitigated or resolved within the timeline specified in our vulnerability management policy (30 and 60 days respectively)
	Actual	100%* of known current “critical” and “high” vulnerabilities have been mitigated or resolved within the applicable timeframe

*Percentage as of October 2022

The Department’s cybersecurity program is committed to addressing vulnerabilities rapidly and effectively. By utilizing internal and external vulnerability scanning, in concert with regular penetration testing, the Department endeavors to identify potential vulnerabilities and apply protections to prevent exploitation of those vulnerabilities. “Critical” and “High” rated vulnerabilities must be closed within 30 and 60 days of initial discovery under its vulnerability management policy.